SERFF Tracking #: SALA-132213091 State Tracking #:

Company Tracking #: VE-8084 (12/19)

State: District of Columbia Filing Company: The Variable Annuity Life Insurance Company

TOI/Sub-TOI: A02.11 Individual Annuities- Deferred Non-Variable and Variable/A02.11.002 Flexible Premium

**Product Name:** VE-8084 (12/19)

**Project Name/Number:** VE-8084 (12/19) /VE-8084 (12/19)

## Filing at a Glance

Company: The Variable Annuity Life Insurance Company

Product Name: VE-8084 (12/19)
State: District of Columbia

TOI: A02.11 Individual Annuities- Deferred Non-Variable and Variable

Sub-TOI: A02.1I.002 Flexible Premium

Filing Type: Form

Date Submitted: 01/14/2020

SERFF Tr Num: SALA-132213091 SERFF Status: Submitted to State

State Tr Num:

State Status:

Co Tr Num: VE-8084 (12/19)

Implementation On Approval

Date Requested:

Author(s): Lauren Cole, Aimy Tran, Natalee Story, Paola Buckley, Jonathan Elmore, Tanjala Young

Reviewer(s):
Disposition Date:
Disposition Status:
Implementation Date:

SERFF Tracking #: SALA-132213091 State Tracking #: Company Tracking #: VE-8084 (12/19)

State: District of Columbia Filing Company: The Variable Annuity Life Insurance Company

TOI/Sub-TOI: A02.1I Individual Annuities- Deferred Non-Variable and Variable/A02.1I.002 Flexible Premium

**Product Name:** VE-8084 (12/19)

**Project Name/Number:** VE-8084 (12/19) /VE-8084 (12/19)

#### **General Information**

Project Name: VE-8084 (12/19) Status of Filing in Domicile: Pending

Project Number: VE-8084 (12/19)

Date Approved in Domicile:

Requested Filing Mode: Review & Approval Domicile Status Comments: Domicile state is TX filed

simultaneously.

Explanation for Combination/Other: Market Type: Individual Submission Type: New Submission Individual Market Type:

Overall Rate Impact: Filing Status Changed: 01/14/2020

State Status Changed:

Deemer Date: Created By: Jonathan Elmore

Submitted By: Jonathan Elmore Corresponding Filing Tracking Number:

Filing Description:

Re: The Variable Annuity Life Insurance Company

Company Code: 70238

VE-8084 (12/19): Endorsement for Individual or Group annuity contract

The enclosed form is submitted to the Department for your review and approval. This new form is not intended to replace any other form currently filed with your Department.

This filing does not contain any unusual or controversial items. To the best of our knowledge, information and belief, the form submitted herewith are in compliance with the statutes and regulations of your state and such a form contain no provisions previously disapproved by you. No part of this filing contains any unusual or possibly controversial items from normal company or industry standards.

The form is submitted in final printed format except for slight font and formatting variations that may occur due to printer configurations. The company takes care to assure that printer-based variations are minimized; however, should changes occur, such changes will not alter the content or meaning of any approved form. Any minor typographical errors identified after the product has been approved will be corrected accordingly.

#### Description of Form:

VE-8084 (12/19) is a Contract Endorsement that will be made a part of the individual annuity contract or group annuity certificate to which it is attached (the "Contract"). Periodic changes to underlying funds invested in through the Company's separate account can create uncertainties, particularly if the contract defers to the plan, but the plan does not provide a default and the plan fiduciary does not provide affirmative direction. This endorsement addresses those situations by imposing an affirmative notice obligation on the Company, clearly recognizing the plan fiduciary's authority to provide direction, and establishing a default in the event that such direction is not provided.

This endorsement will be used with both Individual and Group versions of our Portfolio Director series of products. This endorsement will be issued with new contracts/certificates, and also could be delivered to existing contract/certificate holders.

This endorsement is subject to federal jurisdiction and accordingly the Flesch requirements do not apply.

If you have any questions, or need additional information to complete your review, please contact me at 713-831-6438 or via email at jonathan.elmore@aig.com or through SERFF. Thank you for your review of this filing.

SERFF Tracking #: SALA-132213091 State Tracking #: Company Tracking #: VE-8084 (12/19)

State: District of Columbia Filing Company: The Variable Annuity Life Insurance Company

TOI/Sub-TOI: A02.11 Individual Annuities- Deferred Non-Variable and Variable/A02.11.002 Flexible Premium

**Product Name:** VE-8084 (12/19)

**Project Name/Number:** VE-8084 (12/19) /VE-8084 (12/19)

Sincerely,

Jonathan Elmore

**Product Filing Specialist** 

## **Company and Contact**

### **Filing Contact Information**

Jonathan Elmore, Product Filing Specialist jonathan.elmore@aig.com 2929 Allen Pkwy 713-831-6438 [Phone]

Houston, TX 77019

#### **Filing Company Information**

The Variable Annuity Life CoCode: 70238 State of Domicile: Texas

Insurance Company Group Code: 12 Company Type: 2929 Allen Parkway Group Name: State ID Number:

Houston, TX 77019 FEIN Number: 74-1625348

(800) 445-7862 ext. [Phone]

## **Filing Fees**

Fee Required? No Retaliatory? No

Fee Explanation:

SERFF Tracking #: SALA-132213091 State Tracking #: Company Tracking #: VE-8084 (12/19)

State: District of Columbia Filing Company: The Variable Annuity Life Insurance Company

TOI/Sub-TOI: A02.11 Individual Annuities- Deferred Non-Variable and Variable/A02.11.002 Flexible Premium

**Product Name:** VE-8084 (12/19)

**Project Name/Number:** VE-8084 (12/19) /VE-8084 (12/19)

## Form Schedule

Lead I	Lead Form Number:							
Item	Schedule Item	Form	Form	Form	Form	Action Specific	Readability	
No	Ctatus	Mana	Mirrockov	Tyma	Action	Data	Caara	Attachments
No.	Status	Name	Number	Туре	Action	Data	Score	Attachments

Form Type Legend:

ADV	Advertising	AEF	Application/Enrollment Form
CER	Certificate	CERA	Certificate Amendment, Insert Page, Endorsement or Rider
DDP	Data/Declaration Pages	FND	Funding Agreement (Annuity, Individual and Group)
MTX	Matrix	NAP	Network Access Plan
NOC	Notice of Coverage	ОТН	Other
OUT	Outline of Coverage	PJK	Policy Jacket
POL	Policy/Contract/Fraternal Certificate	POLA	Policy/Contract/Fraternal Certificate: Amendment, Insert Page, Endorsement or Rider
PRC	Provider Contract/Provider Addendum/Provider Leading Agreement	PRD	Provider Directory

#### THE VARIABLE ANNUITY LIFE INSURANCE COMPANY

(the "Company") 2929 Allen Parkway Houston, Texas 77019

#### CONTRACT ENDORSEMENT

This Endorsement is made part of the individual or group annuity contract or group annuity certificate to which it is attached (the "Contract").

If the Contract is issued under a Plan subject to Title I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), the following provisions will apply in the event of changes to one or more of the Investment Funds underlying the Variable Investment Options in the Separate Account described in Section 3.02:

- 1. If a change is made to one or more of the underlying Investment Funds, through a merger or other fund action, upon official notification of such fund action(s) the Company will make reasonable efforts to provide advance notice to the Plan of any relevant changes.
- 2. If an Investment Fund then available in the Plan ("Merged Fund") is to be merged with and into an Investment Fund that is not available in the Plan ("Surviving Fund"):
  - a. The Plan may direct assets in the Investment Option invested in the Merged Fund, and any future contributions that would be allocated to such Variable Investment Option, to another Variable Investment Option available in the Contract; or
  - b. If prior to the effective date of a fund merger, a Plan has not provided to the Company any directions under section 2(a) above: (i), the Contract shall default to inclusion of the Variable Investment Option invested in the Surviving Fund, (ii) Plan assets in the Variable Investment Option invested in the Merged Fund shall be allocated to the Variable Investment Option invested in the Surviving Fund upon the effective date(s) of the fund merger, and (iii) contribution instructions directing allocations to the Variable Investment Option invested in the Merged Fund at the effective date of the merger shall be redirected to the Variable Investment Option invested in the Surviving Fund following the effective date(s) of the fund merger, until or unless alternate direction is provided by or on behalf of the Plan.

Except as Applicable Laws otherwise require, the provisions of this Endorsement shall be effective as of: the Contract Date of Issue, if issued with the Contract; or, thirty days following delivery of the Endorsement to an existing Contract holder.

THE VARIABLE ANNUITY LIFE INSURANCE COMPANY

Julie Cotton Hearne Secretary

Kevin T. Hogan President 
 SERFF Tracking #:
 SALA-132213091
 State Tracking #:
 Company Tracking #:
 VE-8084 (12/19)

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**Product Name:** VE-8084 (12/19)

**Project Name/Number:** VE-8084 (12/19) /VE-8084 (12/19)

# **Supporting Document Schedules**

Satisfied - Item:	Statement of Variability
Comments:	
Attachment(s):	SOV VE-8084 (12-19).pdf
Item Status:	
Status Date:	

## **Statement of Variability**

This Statement of Variability identifies and explains the variable items, denoted by brackets, contained in the below referenced deferred annuity endorsement form. Any changes within these areas will be administered in accordance with the requirements of the state, including any requirements for prior approval of a change.

Forms: VE-8084 (12/19)

Bracketed Variable	Description
Text	
Officer Names, Signatures and Titles	Current names, signatures and titles shown. In the event of a change in the company officers, the new officer's name, signature and title will be shown.